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# A remark on Leclerc's Frobenius categories

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**Abstract.** Leclerc recently studied certain Frobenius categories in connection with cluster algebra structures on coordinate rings of intersections of opposite Schubert cells. We show that these categories admit a description as Gorenstein projective modules over an Iwanaga-Gorenstein ring of virtual dimension at most two. This is based on a Morita type result for Frobenius categories.

## 1 Motivation

Let  $G$  be a complex simple Lie group of type  $Q = A, D$  or  $E$  (eg  $G = \mathrm{SL}_{n+1}(\mathbb{C})$  for  $Q = A_n$ ) with Borel subgroup  $B \subset G$  (eg  $B = \{\text{upper triangular matrices}\}$ ) and Weyl group  $W$  (eg  $W \cong S_{n+1}$  given by permutation matrices).

For a Weyl group element  $w \in W$  there are associated subvarieties  $C_w$  (*Schubert cell*) and  $C^w$  (*opposite Schubert cell*) in the flag variety  $G/B$ . On the other hand, there is a torsion pair  $(\mathcal{C}_w, \mathcal{C}^w)$  in the category of finite dimensional modules over the preprojective algebra  $\Pi := \Pi(Q)$  and the categories  $\mathcal{C}_w, \mathcal{C}^w$  are Frobenius and have projective generators (in fact, the latter statements may be deduced from Proposition 5). These Frobenius categories were used by Geiß, Leclerc & Schröer to categorify cluster algebra structures on coordinate rings of the corresponding (opposite) Schubert cells [3].

Let  $v \in W$ . The intersections  $\mathcal{C}_{v,w} := \mathcal{C}^v \cap \mathcal{C}_w$  are known as *open Richardson varieties* and have been studied by Kazhdan-Lusztig in connection with KL-polynomials. Generalizing the aforementioned work [3], Leclerc [5] categorifies a cluster subalgebra of the coordinate rings of  $\mathcal{C}_{v,w}$  using the intersection  $\mathcal{C}_{v,w}$  of a torsion free part  $\mathcal{C}^v$  with a torsion part  $\mathcal{C}_w$  of two torsion pairs mentioned above. Under some finiteness assumptions he obtains a cluster algebra structure on the whole coordinate ring and he conjectures that this holds in general.

The subcategories  $\mathcal{C}_{v,w} \subseteq \mathbf{mod} \Pi$  inherit an exact structure which is again Frobenius.

**Aim** Explain this in a more abstract setting and give equivalent descriptions of  $\mathcal{C}_{v,w}$ .

This is summarized in the following Proposition which is a special case of Proposition 5.

**Proposition 1** *Let  $\mathcal{C}_{v,w} := \mathcal{C}_w \cap \mathcal{C}^v \subseteq \mathbf{mod} \Pi$ . Then*

- (a)  $\mathcal{C}_{v,w}$  is a Frobenius category with  $\mathrm{proj} \mathcal{C}_{v,w} = \mathrm{add} f_v t_w(\Pi) = \mathrm{add} t_w f_v(\Pi) =: \mathrm{add} P_{v,w}$ . Where  $t_u(-)$  denotes the torsion radical and  $f_u(-) := (-)/t_u(-)$  for a torsion pair  $(\mathcal{C}_u, \mathcal{C}^u)$ .
- (b)  $\mathcal{C}_{v,w} \xrightarrow{\mathrm{Hom}_{\mathcal{C}_{v,w}}(P_{v,w}, -)} \mathrm{GP}(\Pi_{v,w})$  is an exact equivalence, where  $\Pi_{v,w} := \mathrm{End}_{\mathcal{C}_{v,w}}(P_{v,w})$  is an Iwanaga-Gorenstein ring of virtual dimension at most two.
- (c) In particular,  $\mathcal{C}_{v,w}$  is equivalent to the subcategory of second syzygies of finite dimensional  $\Pi_{v,w}$ -modules.
- (d) The functors  $f_v$  and  $t_w$  induce ring homomorphisms  $\Pi_w := \mathrm{End}_{\mathcal{C}_w}(t_w(\Pi)) \rightarrow \Pi_{v,w}$  and  $\Pi^v := \mathrm{End}_{\mathcal{C}^v}(f_v(\Pi)) \rightarrow \Pi_{v,w}$ . These are surjective if  $\mathcal{C}_v \subseteq \mathcal{C}_w$ . In turn, this condition is equivalent to  $w = v'v$  with  $l(w) = l(v') + l(v)$ , called condition (P) in Leclerc [5, 5.1].

- (e) (see [1, 5.16]) If condition (P) holds, then  $\Pi_{v,w}$  is Morita equivalent to  $\Pi_{v'}$ . Therefore,  $\Pi_{v,w}$  has the same virtual dimension as  $\Pi_{v'}$  which is at most 1, [2].

**Remark 2** Let  $\Lambda_w := \Pi/I_w$  be the algebra considered in [2]. Then there are algebra isomorphisms  $\Lambda_w \cong \Pi^{w_0 w^{-1}} \cong \Pi_{w^{-1}}^{\text{op}}$ , where  $w_0$  denotes the longest Weyl group element.

## 2 A Morita type result for Frobenius categories

**Definition/Proposition 3** A two-sided Noetherian ring  $R$  is called *Iwanaga-Gorenstein*, if  $\text{inj. dim}_R R < \infty$  and  $\text{inj. dim } R_R < \infty$ . It is well-known that this implies  $\text{inj. dim}_R R = d = \text{inj. dim } R_R$ . We call  $d =: \text{vir. dim } R$  the *virtual dimension* of  $R$ .

In this case the category of *Gorenstein-projective*  $R$ -modules

$$\text{GP}(R) := \{M \in \text{mod } R \mid \text{Ext}_R^i(M, R) = 0 \text{ for all } i > 0\}$$

is a Frobenius category with subcategory of projective-injective objects  $\text{proj } R$ . Equivalently,  $\text{GP}(R)$  is the subcategory of  $d$ -th syzygies of finitely generated  $R$ -modules

$$\text{GP}(R) \cong \Omega^d(\text{mod } R) := \{\Omega^d(M) \mid M \in \text{mod } R\}.$$

If  $R$  is a local commutative Noetherian ring, Gorenstein projective  $R$ -modules are precisely maximal Cohen-Macaulay  $R$ -modules and  $\text{inj. dim}_R R = \text{kr. dim } R$ .

**Aim** Characterize the categories of Gorenstein projective modules  $\text{GP}(R)$  over Iwanaga-Gorenstein rings  $R$  among all Frobenius categories.

**Notation** For an additive category  $\mathcal{B}$ , we denote by  $\text{mod } \mathcal{B}$  the category of finitely presented contravariant additive functors  $\mathcal{B} \rightarrow \text{Ab}$ .

We first list properties of the categories  $\mathcal{E} := \text{GP}(R)$  for  $R$  Iwanaga-Gorenstein.

- (i)  $\text{proj } \mathcal{E} = \text{add } P (= \text{proj } R)$  for some  $P \in \mathcal{E}$  and  $\text{End}_{\mathcal{E}}(P) (\cong \text{End}_R(R))$  is two-sided noetherian.
- (ii)  $\mathcal{E}$  is idempotent complete (since  $\mathcal{E} \subseteq \text{mod } R$  closed under direct summands).
- (iii)  $\mathcal{E}$  is Frobenius (use exact duality  $\text{Hom}_R(-, R): \text{GP}(R) \rightarrow \text{GP}(R^{\text{op}})$ ).
- (iv)  $\mathcal{E}$  has weak kernels and cokernels (use Auslander-Buchweitz approximation).
- (v)  $\text{gl. dim mod } \mathcal{E}, \text{ gl. dim mod } \mathcal{E}^{\text{op}} \leq n (= \max\{2, \text{inj. dim } R\})$ .

The following result may be interpreted as an analogue of Morita theory for Frobenius categories. The implication (b)  $\Rightarrow$  (a) is well-known. The converse is the special case  $\text{proj } \mathcal{E} = \text{add } \mathcal{P}, \mathcal{M} = \mathcal{E}$  of [4, 2.8], which is due to Iyama and inspired by a stable version of Dong Yang and the author [4, 2.15].

**Proposition 4** Let  $\mathcal{E}$  be an exact category and let  $P \in \mathcal{E}$ . TFAE

- (a)  $\mathcal{E}$  and  $P$  satisfy the conditions (i)-(v) above.
- (b) Set  $R = \text{End}_{\mathcal{E}}(P)$ .  $\text{Hom}_{\mathcal{E}}(P, -): \mathcal{E} \rightarrow \text{GP}(R)$  is an exact equivalence and  $R$  is Iwanaga-Gorenstein with  $\text{vir. dim } R \leq \text{gl. dim mod } \mathcal{E}$ .

## 3 From pairs of torsion pairs to Frobenius categories

**Notation** Let  $(\mathcal{T}, \mathcal{F})$  be a torsion pair in an abelian category  $\mathcal{A}$ . In particular, there is a short exact sequence  $0 \rightarrow t(X) \rightarrow X \rightarrow f(X) \rightarrow 0$  for all  $X$  in  $\mathcal{A}$ . This gives rise to functors  $t: \mathcal{A} \rightarrow \mathcal{T}$  and  $f: \mathcal{A} \rightarrow \mathcal{F}$ , which are right (respectively left) adjoint to the canonical inclusions.

**Proposition 5** *Let  $\mathcal{A}$  be an abelian category with torsion pairs  $(\mathcal{T}_1, \mathcal{F}_1)$  and  $(\mathcal{T}_2, \mathcal{F}_2)$  and set  $\mathcal{C}_{12} := \mathcal{T}_1 \cap \mathcal{F}_2$ . Then the following statements hold:*

- (a)  $\mathcal{C}_{12}$  is extension closed and idempotent complete, since  $\mathcal{T}_1$  and  $\mathcal{F}_2$  are. In particular,  $\mathcal{C}_{12}$  inherits a natural exact structure from  $\mathcal{A}$ .
- (b)  $\mathcal{C}_{12}$  has kernels and cokernels. In other words,  $\mathcal{C}_{12}$  is a preabelian category. In particular, the categories of finitely presented additive functors  $\mathbf{mod} \mathcal{C}_{12}$  and  $\mathbf{mod} \mathcal{C}_{12}^{\text{op}}$  are abelian and have global dimension at most 2.  
For example, the composition of the canonical inclusions

$$t_1(\ker f) \hookrightarrow \ker f \hookrightarrow X \xrightarrow{f} Y$$

is a kernel of  $f$ . Here  $\ker f$  denotes the kernel of  $f$  in  $\mathcal{A}$ .

- (c) If  $\mathcal{T}_1$  has enough projectives and  $\mathcal{F}_2$  has enough injectives, then  $\mathcal{C}_{12}$  has enough injectives ( $= t_1(\text{inj } \mathcal{F}_2)$ ) and projectives ( $= f_2(\text{proj } \mathcal{T}_1)$ ).
- (d) If additionally  $\text{Ext}_{\mathcal{C}_{12}}^1(X, Y) = 0 \Leftrightarrow \text{Ext}_{\mathcal{C}_{12}}^1(Y, X) = 0$ , then  $\mathcal{C}_{12}$  is Frobenius. For example, this is satisfied if  $\underline{\mathcal{A}}$  or  $\mathcal{D}^b(\mathcal{A})$  are 2-Calabi-Yau. This in turn is known to hold for  $\mathcal{A} = \text{fdmod}(\widehat{\Pi(Q)})$ , where  $Q$  is a quiver without loops and  $\widehat{\Pi(Q)}$  is the  $\mathfrak{m}$ -adic completion of its preprojective algebra, where  $\mathfrak{m}$  denotes the ideal generated by all arrows.
- (e) Assume additionally that  $\text{proj } \mathcal{T}_1 = \text{add } P$  and  $\text{inj } \mathcal{F}_2 = \text{add } I$ , then  $\text{proj } \mathcal{C}_{12} = \text{add } f_2(P) = \text{add } t_1(I)$ . We assume that  $\Pi_{12} := \text{End}_{\mathcal{C}_{12}}(f_2(P))$  is two-sided noetherian. Then there is an exact equivalence

$$\mathcal{C}_{12} \xrightarrow{\text{Hom}_{\mathcal{C}_{12}}(f_2(P), -)} \text{GP}(\Pi_{12}),$$

and  $\Pi_{12}$  is Iwanaga-Gorenstein of virtual dimension at most 2.

- (f) In the situation of (e) the functors  $f_2$  and  $t_1$  induce ring homomorphisms  $\varphi_2: \text{End}_{\mathcal{T}_1}(P) \rightarrow \Pi_{12}$  and  $\tau_1: \text{End}_{\mathcal{F}_2}(I) \rightarrow \Pi_{12}$  with kernels given by the ideals of morphisms factoring over  $t_2(P)$  and  $f_1(I)$ , respectively. The ring homomorphisms are surjective if  $\mathcal{T}_2 \subseteq \mathcal{T}_1$ . In Example 7,  $\varphi_2$  is injective but not surjective.

**Remark 6** This is an analogue of Buan, Iyama, Reiten & Scott's [2] dual description of Geiß, Leclerc & Schröer's categories  $\mathcal{C}_w$  [3] as categories of submodules of projective modules over the algebra  $\Lambda_w$ , see also [3, Theorem 2.8]. Since  $\Lambda_w$  is Iwanaga-Gorenstein of virtual dimension 1, Gorenstein projective modules are first syzygies, which in turn are just submodules of projective modules. See also [4, Section 6] for a further discussion.

## 4 Examples, remarks and questions

**Example 7** We consider the situation of [5, 3.16], i.e.  $Q$  is of type  $A_3$ ,  $w = s_1 s_3 s_2 s_1 s_3$  and  $v = s_2$ . Then  $\varphi_2: \Pi_w := \text{End}_{\mathcal{C}_w}(t_w(\Pi)) \rightarrow \Pi_{v,w}$  is injective and its cokernel in the category of vectorspaces is isomorphic to  $\mathbb{C}$ . Moreover,  $\Pi_{v,w}$  is the Auslander algebra of the preprojective algebra of type  $A_2$  and therefore is of global (and virtual) dimension 2.

**Remark 8** (Duality) Let  $Q$  be a Dynkin quiver and let  $D := \text{Hom}_k(-, k)$  be the standard duality. It is well-known that there is an algebra isomorphism  $\psi: \Pi \cong \Pi^{\text{op}}$ , which gives rise to a duality  $\Phi: \mathbf{mod} \Pi \xrightarrow{D} \mathbf{mod} \Pi^{\text{op}} \xrightarrow{\psi_*} \mathbf{mod} \Pi$ . Using the notation in Leclerc [5, §3.2], one can check that  $\Phi(P_{v,w}) \cong P_{w_0^{-1}w, w_0v}$  holds, where  $w_0$  denotes the longest Weyl

group element. In particular,  $\Phi$  induces an algebra isomorphism  $\Pi_{v,w} \cong \Pi_{w_0^{-1}w, w_0v}^{\text{op}}$ . Thus  $\Pi^v \cong \Pi_{v,w_0} \cong \Pi_{\text{id}, w_0v}^{\text{op}} \cong \Pi_{w_0v}^{\text{op}}$  for the algebras appearing in Proposition 1 (d).

**Open Problem 9** Give a 'combinatorial description' of  $\Pi_{v,w}$ , eg as quiver with relations.

**Remark 10** The number of isoclasses of indecomposable projective  $\Pi_{v,w}$ -modules seems to be unknown in general. It is not always bounded above by  $|Q_0|$ , see Example 7.

**Question 11** (Leclerc) How does the virtual dimension of  $\Pi_{v,w}$  depend on  $Q, v, w$  and (how) is this number related to the geometry of the open Richardson variety  $C_{v,w}$ ?

**Partial Answer 12** By Remark 2 and [2],  $\text{vir. dim } \Pi^v, \Pi_w \leq 1$ . They are zero iff  $\mathcal{C}^v$  (respectively,  $\mathcal{C}_w$ ) are exact abelian subcategories of  $\text{mod } \Pi$ , which are then equivalent to  $\text{mod } \Pi/e$  ( $e \in \Pi$  idempotent). Thus if  $\text{vir. dim } \Pi^v, \Pi_w = 0$ , then  $\text{vir. dim } \Pi_{v,w} = 0$  (since  $\mathcal{C}_{v,w}$  is abelian). If one of  $\Pi^v$  and  $\Pi_w$  has virtual dimension zero, then  $\mathcal{C}_{v,w}$  is the torsion (or torsion-free) part of a torsion pair in  $\text{mod } \Pi/e$ . By Mizuno [6] and [2],  $\Pi_{v,w} \cong (\Pi/e)^{v'}$  or  $\cong (\Pi/e)_{w'}$  and is therefore of virtual dimension  $\leq 1$ . Also  $\text{gl. dim } \Pi_v = n \leq 1$  (or  $\text{gl. dim } \Pi^w = m \leq 1$ ) implies  $\text{gl. dim } \Pi_{v,w} \leq \min\{n, m\}$ . If both  $\Pi_v, \Pi^w$  have infinite global dimension and virtual dimension 1, then virtual dimensions 0, 1, 2 occur for  $\Pi_{v,w}$ .

**Remark 13** (Commutativity) It follows from work of Mizuno [6], that all torsion pairs in  $\text{mod } \Pi$  are of the form  $(\mathcal{C}_w, \mathcal{C}^w)$  for some Weyl group element  $w$ . In particular, there are only finitely many torsion pairs, which is very surprising given the size of  $\text{mod } \Pi$ . The explicit description of the associated functors  $t_w$  and  $f_w$  (see eg Leclerc [5, §3.2]) shows that  $f_v t_w(M) \cong t_w f_v(M)$  for Weyl group elements  $v, w \in W$  and  $M \in \text{mod } \Pi$ . This seems very unusual for a pair of torsion pairs in general abelian categories and fails already for  $\text{mod } U_2(k)$ , where  $U_2(k)$  denotes the ring of  $2 \times 2$  upper triangular matrices.

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